



Financial Adviser Profile

Overview

Sam has been in the financial planning industry for over 12 years. He started with Hobart Financial Planning in 2017 and is now a part owner of the business.

Sam provides advice across all areas of financial planning with a focus on pre-retirement through to retirement advice and strategies associated with this.

Sam Badcock is a Sub-Authorised Representative of Hobart Financial Planning No. 2 Pty Ltd (trading as Hobart Financial Planning), Corporate Authorised Representative No. 235495. Authorised Representative No. 331026.

Qualifications

Sam holds a Bachelor of Commerce and a and Advanced Diploma of Financial Planning and meets the competency requirements under ASIC's Regulatory Guide RG 146.

Professional Memberships

Sam is a member of the Financial Planning Association and abides by their code of professional conduct and ethics.

Authorisations

Sam is authorised to provide advice and deal in the following financial products:

- Life Products including Investment Life Insurance Products & Life Risk Insurance Products;
- Interests in Managed Investment Schemes including Investor Directed Portfolio Services;
- Deposit & Payment Products;
- Retirement Savings Accounts ("RSA") products;
- Debentures, Stocks or Bonds issued or proposed to be issued by a Government;
- Superannuation;
- Self Managed Superannuation Funds; and
- Standard Margin Lending Facility.

Sam Badcock

Hobart Financial Planning

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Hobart Financial Planning Advice Fees and Charges

Sam will be paid by Financial Planning Advice Fees as described in the Financial Services Guide. The amount of the benefit and how it is calculated will be advised to you before work is commenced.

Sam's fee for the preparation of a Statement of Advice and other Advice documents will vary depending on the complexity involved and the time taken. You will be notified of the costs involved prior to the commencement of any work.

Sam provides the option of ongoing reporting and advisory services. This fee will vary depending on the complexity involved and the time taken. You will be notified of the cost involved prior to the commencement of any ongoing services.

Hobart Financial Planning pays a fixed licensing fee to Capstone Financial Planning Pty Ltd and will receive all revenue earned from the financial services provided to you. Sam is an employee of Hobart Financial Planning No.2 Pty Ltd and will receive a salary from this company.

Other Benefits Sam May Receive

From time to time Sam may be invited to social or sporting events and receive the occasional gift such as a bottle of wine or hamper on special occasions. These non-cash benefits will have a value of less than \$300. A register listing the details of any non-cash benefits between \$100 and \$300 is maintained. These invitations and gifts do not influence the advice provided to you. If you would like more information you can request a copy of the register.

Version 4.1



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This Adviser Profile has been authorised for distribution by Capstone Financial Planning Pty Ltd. AFSL No. 223135. This Adviser Profile forms part of the Financial Services Guide (FSG) and is to be read in conjunction with the FSG.